

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U67110MH2006PLC165306

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAKCS6909H

(ii) (a) Name of the company

STCI PRIMARY DEALER LIMITEE

(b) Registered office address

A/B1-801 (A-Wing), 8th Floor, Marathon Innova,
Marathon NextGen Compound, Lower Parel,
Mumbai
Maharashtra
400013

(c) *e-mail ID of the company

kalpesh@stcipd.com

(d) *Telephone number with STD code

02266202200

(e) Website

www.stcipd.com

(iii) Date of Incorporation

31/10/2006

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 19/09/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	STCI FINANCE LIMITED	U51900MH1994PLC078303	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	150,000,000	150,000,000	150,000,000
Total amount of equity shares (in Rupees)	3,000,000,000	1,500,000,000	1,500,000,000	1,500,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs.10/- each				
Number of equity shares	300,000,000	150,000,000	150,000,000	150,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,000,000,000	1,500,000,000	1,500,000,000	1,500,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	150,000,000	150000000	1,500,000,000	1,500,000,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	150,000,000	150000000	1,500,000,0	1,500,000,0	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

6,341,834,732.77

(ii) Net worth of the Company

7,766,477,647.51

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	149,999,994	100	0	
10.	Others	0	0	0	
	Total	150,000,000	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	5	1	6	0	0
(i) Non-Independent	1	2	1	4	0	0
(ii) Independent	0	3	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PRADEEP MOTIRAM M	00267422	Additional director	1	
VENKATARAMANI RAO	00829107	Director	0	
PRASANNA PATANKA	07658714	Managing Director	1	
VENKATESWARA RAO	05273533	Director	0	
RAGHVENDRA KUMAR	08045355	Director	0	
Narayana Murthy Vishnu	00555704	Additional director	0	
PRAKASH KASHINATH	09336265	Additional director	0	
KALPESH CHANDRAK	ANDPM1406C	CFO	0	
KALPESH CHANDRAK	ANDPM1406C	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAGHVENDRA KUMAR	08045355	Additional director	07/05/2021	Appointment
Gopalakrishnan Narayan	00210831	Director	07/08/2021	Cessation
RAGHVENDRA KUMAR	08045355	Director	30/08/2021	Change in Designation
PRADEEP MOTIRAM M	00267422	Director	01/09/2021	Cessation
PRADEEP MOTIRAM M	00267422	Additional director	22/10/2021	Appointment
Narayana Murthy Vishnu	00555704	Additional director	22/10/2021	Appointment
PRAKASH KASHINATH	09336265	Additional director	22/10/2021	Appointment
Sonali Sinha	07092640	Director	10/02/2022	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/08/2021	7	7	100

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	07/05/2021	7	7	100
2	14/07/2021	7	7	100
3	22/07/2021	7	7	100
4	23/08/2021	6	5	83.33
5	14/09/2021	5	5	100
6	22/10/2021	8	8	100
7	25/01/2022	8	8	100
8	25/03/2022	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

16

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	07/05/2021	4	4	100
2	Audit Committee	22/07/2021	4	4	100
3	Audit Committee	22/10/2021	3	3	100
4	Audit Committee	25/01/2022	3	3	100
5	Risk Management	07/05/2021	4	4	100
6	Risk Management	14/07/2021	4	4	100
7	Risk Management	22/07/2021	4	4	100
8	Risk Management	14/09/2021	3	3	100

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRADEEP MOTIRAJ	Additional Director	0	0	0	470,000	470,000
2	RAGHVENDRA KUMAR	Director	0	0	0	400,000	400,000
3	VENKATARAMANI	Director	0	0	0	910,000	910,000
4	Narayana Murthy V	Additional Director	0	0	0	150,000	150,000
5	Gopalakrishnan Nar	Director	0	0	0	390,000	390,000
6	Sonali Sinha	Director	0	0	0	900,000	900,000
7	PRAKASH KASHINATH	Additional Director	0	0	0	180,000	180,000
8	VENKATESWARA	Director	0	0	0	790,000	790,000
	Total		0	0	0	4,190,000	4,190,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

V Laxman

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

744

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

NA

dated

02/09/2022

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

PRASANNA
PATANKAR

DIN of the director

07658714

To be digitally signed by

Laxman
Narsayya
Valakati

☐ Company Secretary

☒ Company secretary in practice

Membership number

1513

Certificate of practice number

744

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments**

STCI PD-Shareholding Pattern_31032022.
STCI Primary Dealer-MGT-8-2022-digitally
STCI PD-Details of Board and Committee I
Clarification Letter ISIN and KMP Details.p
Clarification on Membership Number of CS

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



STCI PRIMARY DEALER LIMITED

SHAREHOLDING PATTERN AS ON MARCH 31, 2022

Sr. No.	Name of Shareholders [#]	Shares held (nos.)
1.	STCI Finance Limited	149,999,994
2.	Mr. Kamlesh Rathi [*]	1
3.	Mr. Rajiv Ranjan [*]	1
4.	Mr. Pradeep Madhav [*]	1
5.	Mr. Prasanna Patankar [*]	1
6.	Ms. Sabita Braganza [*]	1
7.	Ms. Suparna Sharma [*]	1
	Total	150,000,000

^{*} Nominee of STCI Finance Limited

[#] One (1) share held by Mr. Pradeep Madhav has been transferred to Mr. V. Narayanamurthy on June 28, 2022. Thereafter, Mr. V. Narayanamurthy holds one (1) share as a nominee of STCI Finance Limited with effect from June 28, 2022.

The Company is a wholly owned subsidiary of STCI Finance Limited.

This shareholding pattern is issued for submission as an attachment to the e-Form MGT 7 for FY2021-22.

for STCI Primary Dealer Limited



Kalpesh Mody
Company Secretary
Membership no: FCS 9773

Date: September 22, 2022
Place: Mumbai



STCI PRIMARY DEALER LIMITED

TO WHOM SO EVER IT MAY CONCERN

1. The shares of STCI Primary Dealer Limited ('the Company') are held in demat mode. The ISIN of the equity shares of the Company is INE526I01019. This clarification letter is being submitted as while filing eForm MGT-7 (for FY2021-22), we are unable to insert the ISIN: INE526I01019 on Page 4 of the eform MGT-7.
2. The number of Directors and Key Managerial Personnel (who is not director) as on the financial year end date (i.e as on March 31, 2022) is **Eight (8)**. The details of the Directors and Key Managerial Personnel as on the closure of financial year is as under:

Name	DIN/PAN	Designation	No of equity share(s) held	Date of Cessation (after closure of financial year, if any)
Mr. T. V. Rao	05273533	Director	0	---
Mr. Prakash Vartak	09336265	Additional Director	0	---
Mr. R. Venkataramani	00829107	Director	0	---
Mr. Raghvendra Kumar	08045355	Director	0	---
Mr. Pradeep Madhav [#]	00267422	Additional Director	1	---
Mr. V Narayanamurthy [#]	00555704	Additional Director	0	---
Mr. Prasanna Patankar [*]	07658714	Managing Director	1	---
Mr. Kalpesh Mody	ANDPM1406C	Company Secretary & CFO	0	---

[#] One (1) share held by Mr. Pradeep Madhav has been transferred to Mr. V. Narayanamurthy on June 28, 2022. Thereafter, Mr. V. Narayanamurthy holds one (1) share as a nominee of STCI Finance Limited with effect from June 28, 2022.

^{*}Holds one (1) share as a nominee of STCI Finance Limited.

This clarification letter is being submitted as while filing eForm MGT-7 (for FY2021-22), the designation on details of Directors & KMP in relation to Point VIII (B)(i) is filled from the options in drop-down box which does not allow us to merge the designation of 'Company Secretary & Chief Financial Officer (CFO)'. Hence, the number of Directors & KMP on Page 9 is specified as Nine (9).

We request you to kindly take note of the same

This clarification is submitted alongwith the eForm MGT -7 for the FY2021-22.



For STCI Primary Dealer Limited

Kalpesh Mody
Company Secretary
FCS: 9773

Place: Mumbai

Date: November 04, 2022



STCI PRIMARY DEALER LIMITED

TO WHOM SO EVER IT MAY CONCERN

I, Kalpesh C. Mody, Company Secretary of STCI Primary Dealer Limited bearing CIN:- U67110MH2006PLC165306, would like to inform that I am employed and designated as a Company Secretary of STCI Primary Dealer Limited and my membership number with the Institute of Company Secretaries of India (ICSI) is designated as a Fellow Member is 'F9773'. My previous membership number as an Associate Member of ICSI was 'A26461'.

This clarification letter is being submitted as while filing e-Form MGT 7 for FY2021-22, at the time of Prescrutiny, an error is displayed while digitally signing the e-form as 'Membership Number 9773 is not associated with the Company under the selected designation. DSC is not registered on MCA portal. Register your DSC'. The screenshot of the error is enclosed herewith. We have already registered my DSC under the role Secretary/ Manager on MCA Portal. We have also tried with both Associate as well as Fellow Membership Number, however the same is not being accepted by the system.

We had also sought clarification with ICSI on the error being displayed to which they have provided a response stating that 'details are perfectly ok in Institute and also sent to MCA'. The email communication is enclosed herewith.

Since both the Associate as well as Fellow Membership number of Company Secretary is not being accepted by MCA system, e-form MGT 7 is being signed by Practising Company Secretary having Certificate of practice number as '744'.

We request you to kindly take note of the same.

This is issued for submission along with the e- Form MGT 7 for FY2021-22.



For STCI Primary Dealer Limited

Kalpesh Mody
Company Secretary
FCS: 9773

Place: Mumbai
Date: November 15, 2022

Kalpesh Mody

Subject: FW: Update of membership details
Attachments: Error-FCS.jpg; Error- ACS.jpg; PAN_Self_Colour.pdf

----- Forwarded message -----

From: Member <member@icsi.edu>
To: modykalpesh@yahoo.com <modykalpesh@yahoo.com>
Sent: Monday, 18 April, 2022, 12:29:36 pm IST
Subject: Fw: Update of membership details

Dear Member,

As per record we have sent your data to MCA with your fellow details as given below:

Type – F
Membership no. – 9773
DOB- 15/08/1982
First name – KALPESH CHANDRAKANT
Last name – MODY
CP – (If applicable)

We request you to kindly raise a ticket in MCA portal indicating therein that **"your details are perfectly OK in Institute and also sent to MCA"**.

Regards,

Team ICSI

From: Kalpesh Mody <modykalpesh@yahoo.com>
Sent: Thursday, April 14, 2022 2:00 PM
To: companiesact2013 <companiesact2013@icsi.edu>; Member <member@icsi.edu>
Subject: Update of membership details

Sir / Madam,

My Associate Membership number ICSI was 'A26461' and then I had applied for fellow membership.

My Fellow Membership number is 'F9773'.

However, while filing forms on MCA neither the Associate membership number nor the Fellow membership number is considered. Error as received is attached herewith. We are informed that the same has not been updated in MCA records by ICSI and hence the error.

Request you to please update the records with the FCS in order to enable us to file requisite forms with MCA under my membership number. Copy of the PAN card as required is attached herewith.

Looking forward for an early action.

Thanks.

Regards
Kalpesh



STCI PRIMARY DEALER LIMITED

Details of Meetings during FY 2021-22 (Annexure to MGT – 7)

Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
BOARD MEETING						
1.	Board Meeting	May 07, 2021	7	7	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. Prasanna Patankar
2.	Board Meeting	July 14, 2021	7	7	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. Prasanna Patankar
3.	Board Meeting	July 22, 2021	7	7	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. Prasanna Patankar
4.	Board Meeting	August 23, 2021	6	5	83.33	Mr. R. Venkataramani Mr. T.V.Rao Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. Prasanna Patankar





Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
5.	Board Meeting	September 14, 2021	5	5	100	Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Prasanna Patankar
6.	Board Meeting	October 22, 2021	8	8	100	Ms. Sonali Sinha Mr. T.V.Rao Mr. Prakash Vartak Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V Narayanamurthy Mr. Prasanna Patankar
7.	Board Meeting	January 25, 2022	8	8	100	Ms. Sonali Sinha Mr. T.V.Rao Mr. Prakash Vartak Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V Narayanamurthy Mr. Prasanna Patankar
8.	Board Meeting	March 25, 2022	7	7	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. Prakash Vartak Mr. V Narayanamurthy Mr. Prasanna Patankar



**COMMITTEE MEETINGS****A. AUDIT COMMITTEE MEETING**

1.	Audit Committee Meeting	May 07, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. R. Venkataramani Mr. T. V. Rao
2.	Audit Committee Meeting	July 22, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. R. Venkataramani Mr. T.V.Rao
3.	Audit Committee Meeting	October 22, 2021	3	3	100	Ms. Sonali Sinha Mr. R. Venkataramani Mr. T.V.Rao
4.	Audit Committee Meeting	January 25, 2022	3	3	100	Ms. Sonali Sinha Mr. R. Venkataramani Mr. T.V.Rao

B. RISK MANAGEMENT COMMITTEE MEETING

5.	Risk Management Committee Meeting	May 07, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani
6.	Risk Management Committee Meeting	July 14, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani
7.	Risk Management Committee Meeting	July 22, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani
8.	Risk Management Committee Meeting	September 14, 2021	3	3	100	Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani
9.	Risk Management Committee Meeting	October 21, 2021	3	3	100	Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani





10.	Risk Management Committee Meeting	January 25, 2022	3	3	100	Ms. Sonali Sinha Mr. T.V.Rao Mr. R. Venkataramani
C. NOMINATION & REMUNERATION COMMITTEE MEETING						
11.	Nomination & Remuneration Committee Meeting	May 07, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. R. Venkataramani Mr. Pradeep Madhav
12.	Nomination & Remuneration Committee Meeting	July 13, 2021	4	4	100	Mr. G. Narayanan Ms. Sonali Sinha Mr. R. Venkataramani Mr. Pradeep Madhav
13.	Nomination & Remuneration Committee Meeting	October 22, 2021	3	3	100	Ms. Sonali Sinha Mr. R. Venkataramani Mr. T. V. Rao
14.	Nomination & Remuneration Committee Meeting	February 02, 2022	3	3	100	Ms. Sonali Sinha Mr. R. Venkataramani Mr. T. V. Rao
D. CORPORATE SOCIAL RESPONSIBILITY COMMITTEE MEETING						
15.	Corporate Social Responsibility Committee Meeting	October 21, 2021	3	3	100	Ms. Sonali Sinha Mr. R. Venkataramani Mr. Prasanna Patankar
16.	Corporate Social Responsibility Committee Meeting	January 25, 2022	3	3	100	Ms. Sonali Sinha Mr. R. Venkataramani Mr. Prasanna Patankar

This is issued for submission as an attachment to the e-Form MGT 7 for FY2021-22.

For STCI Primary Dealer Limited



Kalpesh Mody
Company Secretary
FCS 9773

Date: - September 22, 2022

Place: - Mumbai

Form No. MGT-8

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]**

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of STCI Primary Dealer Limited (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act and Rules made there under in respect of :
 - 1. its status under the Act;
 - 2. maintenance of registers/records & making entries therein within the time prescribed therefor;
 - 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
 - 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;

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5. closure of Register of Members / Security holders, as the case may be.
6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. contracts/arrangements with related parties as specified in section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

Laxman
Narsayya
Valakati

Digitally signed by Laomun Narsayya Valakatti
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The qualification, reservation or adverse remarks; if any, may be stated at the relevant place(s).