

**FORM NO. MGT-7**

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

**Annual Return**

(other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

**I. REGISTRATION AND OTHER DETAILS**

(i) \* Corporate Identification Number (CIN) of the company

U67110MH2006PLC165306

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAKCS6909H

(ii) (a) Name of the company

STCI PRIMARY DEALER LIMITED

(b) Registered office address

A/B1-801 (A-Wing), 8th Floor, Marathon Innova,  
Marathon NextGen Compound, Lower Parel,  
Mumbai  
Maharashtra  
400013

(c) \*e-mail ID of the company

KA\*\*\*\*\*PD.COM

(d) \*Telephone number with STD code

02\*\*\*\*\*00

(e) Website

www.stcipd.com

(iii) Date of Incorporation

31/10/2006

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikh  
roli (West ), NA

(vii) \*Financial year From date 01/04/2023 (DD/MM/YYYY) To date 31/03/2024 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 22/08/2024

(b) Due date of AGM 30/09/2024

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	STCI FINANCE LIMITED	U51900MH1994PLC078303	Holding	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	150,000,000	150,000,000	150,000,000
Total amount of equity shares (in Rupees)	3,000,000,000	1,500,000,000	1,500,000,000	1,500,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	300,000,000	150,000,000	150,000,000	150,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,000,000,000	1,500,000,000	1,500,000,000	1,500,000,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	150,000,000	150000000	1,500,000,0	1,500,000,	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
<b>At the end of the year</b>	0	150,000,000	150000000	1,500,000,0	1,500,000,	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☐ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting				
Date of registration of transfer (Date Month Year)				
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)		
Ledger Folio of Transferor				
Transferor's Name				
	Surname	middle name	first name	
Ledger Folio of Transferee				

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

(i) Turnover

12,523,647,002.79

(ii) Net worth of the Company

9,727,084,033.42

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	149,999,994	100	0	
10.	Others NA	0	0	0	
	<b>Total</b>	150,000,000	100	0	0

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	



	<b>Total</b>	0	0	0	0
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Total number of shareholders (other than promoters)

0

Total number of shareholders (Promoters+Public/  
Other than promoters)

7

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	7	1	6	0	0
(i) Non-Independent	1	4	1	3	0	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	1	7	1	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
VENKATESWARA RA	05273533	Director	0	
VARDA PRADEEP PE	00152752	Director	0	
PRADEEP MADHAV	00267422	Director	0	
PRAKASH KASHINAT	09336265	Director	0	
NARAYANAMURTHY	00555704	Director	1	
PRASANNA PATANKA	07658714	Managing Director	1	
KALPESH CHANDRA	ANDPM1406C	Company Secretar	0	
KALPESH CHANDRA	ANDPM1406C	CFO	0	
SASIDHARAN MANGA	10052548	Director	0	01/06/2024

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
VENKATARAMANI	00829107	Director	05/09/2023	Retirement
VENKATESWARA F	05273533	Director	23/01/2024	Re-appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	04/09/2023	7	7	100

**B. BOARD MEETINGS**

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/04/2023	8	7	87.5
2	24/07/2023	8	8	100
3	29/08/2023	8	8	100
4	21/10/2023	7	7	100
5	25/01/2024	7	6	85.71
6	22/03/2024	7	6	85.71

#### C. COMMITTEE MEETINGS

Number of meetings held

15

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	22/04/2023	4	4	100
2	Audit Committee	24/07/2023	4	4	100
3	Audit Committee	21/10/2023	3	3	100
4	Audit Committee	25/01/2024	4	4	100
5	Audit Committee	22/03/2024	4	4	100
6	Risk Management	22/04/2023	4	4	100
7	Risk Management	24/07/2023	4	4	100
8	Risk Management	21/10/2023	3	3	100
9	Risk Management	25/01/2024	4	4	100
10	Risk Management	22/03/2024	4	4	100

#### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 22/08/2024 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		0	0	0	3,870,000	3,870,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Dilip Bharadiya

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

6740

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

PRASANNA  
PATANKAR

DIN of the director

0\*6\*8\*1\*

**To be digitally signed by**

Dilip  
Bharadiya  
Digitally signed by  
Dilip Bharadiya  
Date: 2024.10.03  
18:38:52 +0530

- ☐ Company Secretary  
☒ Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

**List of attachments**

Shareholding Pattern 31032024.pdf  
Form\_MGT 8 STCI PD.pdf  
Clarification Letter\_ISIN Details.pdf  
Details of Board and Committee Meetings

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



# STCI PRIMARY DEALER LIMITED

Date: October 03, 2024

## TO WHOM SO EVER IT MAY CONCERN

With reference to E-Form MGT-7 for FY2023-24, we would like to submit the below details:

### IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

#### **B. BOARD MEETINGS**

Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
BOARD MEETING						
1.	Board Meeting	April 22, 2023	8	7	87.5	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
2.	Board Meeting	July 24, 2023	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Sasidharan Mangalamkat Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
3.	Board Meeting	August 29, 2023	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Sasidharan Mangalamkat Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar





Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
4.	Board Meeting	October 21, 2023	7	7	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. Sasidharan Mangalamkat Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
5.	Board Meeting	January 25, 2024	7	6	85.7	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
6.	Board Meeting	March 22, 2024	7	6	85.7	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar

### C. COMMITTEE MEETINGS

For the FY2023-24, in E-Form MGT-7 only ten (10) rows are provided to input the details of Committee Meetings held during the FY2023-24. However, there are total of fifteen (15) Committee Meetings held during the FY2023-24, consisting of five (5) Audit Committee Meetings, five (5) Risk Management Committee Meetings, three (3) Nomination and Remuneration Committee Meetings and two (2) Corporate Social Responsibility Committee Meetings. Hence, the table of the Committee Meetings held during the FY2023-24 should be read as under:

Number of meetings held

15





COMMITTEE MEETINGS						
A.	AUDIT COMMITTEE MEETING					
Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
1.	Audit Committee Meeting	April 22, 2023	4	4	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani Ms. Varda Pendse
2.	Audit Committee Meeting	July 24, 2023	4	4	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani Ms. Varda Pendse
3.	Audit Committee Meeting	October 21, 2023	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Ms. Varda Pendse
4.	Audit Committee Meeting	January 25, 2024	4	4	100	Mr. T. V. Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. Pradeep Madhav
5.	Audit Committee Meeting	March 22, 2024	4	4	100	Mr. T. V. Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. Pradeep Madhav
B.	RISK MANAGEMENT COMMITTEE MEETING					
1.	Risk Management Committee Meeting	April 22, 2023	4	4	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav Mr. Prakash Vartak
2.	Risk Management Committee Meeting	July 24, 2023	4	4	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav Mr. Prakash Vartak
3.	Risk Management Committee Meeting	October 21, 2023	3	3	100	Mr. T.V.Rao Mr. Pradeep Madhav Mr. Prakash Vartak







Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	Number of members attended	Number of members attended
4.	Risk Management Committee Meeting	January 25, 2024	4	4	100	Mr. T.V.Rao Mr. Pradeep Madhav Mr. Prakash Vartak Mr. V Narayanamurthy
5.	Risk Management Committee Meeting	March 22, 2024	4	4	100	Mr. T.V.Rao Mr. Pradeep Madhav Mr. Prakash Vartak Mr. V Narayanamurthy
<b>C. NOMINATION &amp; REMUNERATION COMMITTEE MEETING</b>						
1.	Nomination & Remuneration Committee Meeting	July 24, 2023	4	4	100	Mr. T. V. Rao Ms. Varda Pendse Mr. R. Venkataramani Mr. V. Narayanamurthy
2.	Nomination & Remuneration Committee Meeting	October 21, 2023	3	3	100	Mr. T. V. Rao Ms. Varda Pendse Mr. V. Narayanamurthy
3.	Nomination & Remuneration Committee Meeting	January 24, 2024	4	4	100	Mr. T. V. Rao Ms. Varda Pendse Mr. V. Narayanamurthy Mr. Pradeep Madhav
<b>D. CORPORATE SOCIAL RESPONSIBILITY COMMITTEE MEETING</b>						
1.	Corporate Social Responsibility Committee Meeting	July 24, 2023	4	4	100	Mr. Prakash Vartak Mr. R. Venkataramani Mr. Pradeep Madhav Mr. Prasanna Patankar
2.	Corporate Social Responsibility Committee Meeting	October 21, 2023	3	3	100	Mr. Prakash Vartak Mr. Pradeep Madhav Mr. Prasanna Patankar

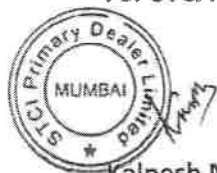




..... Contd.

This is issued with reference to Sub Point B and C of Point IX - Meetings of Members/Class of Members/Board/Committees of the Board of Directors for submission as an attachment to the e-Form MGT 7 for FY2023-24.

**For STCI Primary Dealer Limited**



**Kalpesh Mody**  
**Company Secretary**  
**Membership no: FCS 9773**

**Date: - October 03, 2024**

**Place: - Mumbai**



# STCI PRIMARY DEALER LIMITED

## SHAREHOLDING PATTERN AS ON MARCH 31, 2024

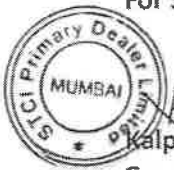
Sr. No.	Name of Shareholders	Shares held (nos.)
1.	STCI Finance Limited	149,999,994
2.	Mr. Kamlesh Rathi*	1
3.	Mr. Rajiv Ranjan*	1
4.	Mr. Narayana Murthy Vishnubhotla*	1
5.	Mr. Prasanna Patankar*	1
6.	Ms. Sabita Braganza*	1
7.	Ms. Suparna Sharma*	1
	<b>Total</b>	<b>150,000,000</b>

\* Nominee of STCI Finance Limited

The Company is a wholly owned subsidiary of STCI Finance Limited.

This shareholding pattern is issued for submission as an attachment to the E-Form MGT 7 for FY2023-24.

For STCI Primary Dealer Limited



Kalpesh Mody

Company Secretary

Membership Number: FCS 9773

Date: October 03, 2024

Place: Mumbai



# STCI PRIMARY DEALER LIMITED

Date: October 03, 2024

## TO WHOM SO EVER IT MAY CONCERN

With reference to E-Form MGT-7 for FY2023-24, we would like to submit the below clarification:

### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

The shares of STCI Primary Dealer Limited ('the Company') are held in demat mode. The ISIN of the equity shares of the Company is INE526I01019. This clarification letter is being submitted as while filing eForm MGT-7 (for FY2023-24), we are unable to insert the ISIN: INE526I01019 on Page 4 of the eform MGT-7.

We request you to kindly take note of the same.

This clarification is submitted alongwith the E-Form MGT -7 for the FY2023-24.

For STCI Primary Dealer Limited



Rajesh Mody

Company Secretary

Membership Number: FCS-9773

Place: Mumbai

Date: October 03, 2024

**Dilip Bharadiya**

B.Com., A.C.A., F.C.S.

**Shivangini Gohel**

B.Com., A.C.S., LLB, PGDSLCL

# **DILIP BHARADIYA & ASSOCIATES**

**COMPANY SECRETARIES**

Phone : 91 - 22 - 2888 3756

Mob. : 91 - 98202 90360

: 91 - 98334 46652

Email : dilipbcs@gmail.com

: dilip@csdilip.com

## Form No. MGT-8

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies  
(Management and Administration) Rules, 2014]*

We have examined the registers, records and books and papers of **STCI PRIMARY DEALER LIMITED** (the Company) bearing Corporate Identity Number (CIN) - **U67110MH2006PLC165306** as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March 2024. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. The Company is an unlisted public company as defined u/s 2(71) of the Companies Act, 2013 and wholly owned subsidiary of **STCI Finance Limited**.
  2. The Company has kept and maintained all registers as per the provisions of the Act and the rules made thereunder and all entries therein have been duly recorded within prescribed time limit;
  3. The Company has filed the forms and returns as stated in Annual Return, with the Registrar of the Companies/ Central Government/ Regional Directors within the time as prescribed under the Act and the rules made thereunder, with additional fees, wherever applicable;
  4. The Company has held meetings of Board of Directors, Committees and the meetings of the members of the company within due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  5. The Company not being a Listed company, was not required to close its Register of Members during the year under reference.
  6. The Company has not given advances / loans to its directors and/or persons or firms or companies referred in section 185 of the Act;

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7. The Company is in compliance with Section 188, wherever applicable, for all transactions with the related parties and the details of related party transactions have been disclosed in the financial statements as required by the applicable accounting standards.
8. There has been no allotment/buy-back of securities during the year under reference. The Company has not issued any preference share capital or debentures and therefore question of redemptions of the same do not arise during the financial year under reference. There was neither alteration nor reduction of share capital/conversion of shares/securities and issue of security certificates during the year under reference.
9. There were no instances necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;
10. The Company has declared and paid dividend during the year and has complied with the provisions of the Act. The Company did not have any unpaid dividend and therefore the Company was not required to transfer any unpaid amount to Investor Education and Protections Fund (IEPF);
11. The Company has duly signed audited financial statements as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof;
12. The Board of Directors of the Company is duly constituted and the appointment/reappointment of director/ additional director, disclosures of Directors, Key Managerial Personnel were duly made during financial year under reference and that remuneration was paid to them in accordance with the terms of appointment as agreed.
13. The appointment/ re-appointment of Auditors is duly made as per the provisions of section 139 of the Act.
14. There were no instances requiring to obtain any approval from the Central Government, Regional Director, or such other authorities as may be prescribed under the various provisions of the Act during the said financial year by the Company;
15. The Company has not accepted any deposits;
16. The Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in this respect, wherever applicable has been complied with;

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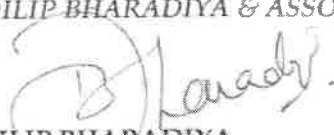


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17. the Company has not made any investments into other bodies corporate or persons falling under the provisions of section 186 of the Act;

18. The Company has not altered its memorandum and articles of association during the financial year.

For DILIP BHARADIYA & ASSOCIATES

  
DILIP BHARADIYA  
F.C.S.7956 C.P.6740  
UDIN: F007956F001421995  
Firm Registration Number: P2005MH091600  
Firm Peer Review Number: 5825/2024



Place: Mumbai

Date: October 03, 2024